

Attorney's Docket No.: 17738-003001 / UMMC 03



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lu et al.

Art Unit

: 1642

Serial No.

: 10/728,195

Examiner: Unknown

Filed

December 3, 2003

Title

: POLYVALENT, PRIMARY HIV-1 GLYCOPROTEIN DNA VACCINES AND

VACCINATION METHODS

## MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed before the receipt of a first Office Action on the merits. No fees are believed due, however please apply any charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 17738-003001.

Respectfully submitted,

No. 32,983

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Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet	1	of	1

next communication to applicant.

Substitute Form PTO-1449 U.S. Department of Commerce (Modified)

Attorney's Docket No. 17738-003001

Application No. 10/728,195

**Information Disclosure Statement by Applicant** (Use several sheets if necessary)

Applicant Lu et al.

Group Art Unit

(37 CFR §1.98(b))

Filing Date December 3, 2003

1642

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,420,030	May 30, 1995	Reitz et al.		,	
	AB	5,830,876	Nov. 3, 1998	Weiner et al.			
	AC	6,090,392	Jul. 18, 2000	Berman			
	AD	6,139,843	Oct. 31, 2000	Rubinstein et al.		-	
	AE						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	slation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AF							
	AG							
	AH							
	AI							
	AJ							

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner	Desig.			
Initial	ID	Document `		
	AK	Nyambi et al., "Immunoreactivity of Intact Virions of Human Immunodeficiency Virus Type 1 (HIV-1) Reveals the Existence of Fewer HIV-1 Immunotypes than Genotypes," J. Virol. 74:10670-680 (2000)		
	AL	Copy of International Search Report in PCT/US03/38640 mailed February 3, 2005		
	AM			

Examiner Signature	Date Considered		
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with			